



Docket No.42390P9301

*Patent*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Manoj Khare et al.

Application No. 09/643,380 ✓

Filed: August 21, 2000

For: A METHOD AND APPARATUS  
FOR PIPELINING ORDERED  
INPUT/OUTPUT TRANSACTIONS)  
TO COHERENT MEMORY IN A  
DISTRIBUTED MEMORY,  
CACHE COHERENT,  
MULTI-PROCESSOR SYSTEM

Examiner: Not yet assigned

Art Unit: 2185

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail with  
sufficient postage in an envelope addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231

on

11.8.02

Date of Deposit

Name of Person Mailing Correspondence

Signature

Date

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with  
copies of the documents cited on that form. It is respectfully requested that the cited documents  
be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be  
initialed by the Examiner to indicate such consideration and a copy thereof returned to  
applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is  
not to be construed as a representation that a search has been made and is not to be construed as  
an admission that the information cited in this statement is material to patentability.

11/15/2002 SSESHE1 00000074 09643380

0.00 1306

180.00 DP

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: November 7, 2002

Cynthia Thomas Faatz  
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PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** \$180.00

Complete if Known:

Application No. 09/643,380  
Filing Date August 21, 2000  
First Named Inventor Manoj Khare et al.  
Group Art Unit 2185  
Examiner Name \*\*\*  
Attorney Docket No. 42390P9301

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

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2. ☒ Payment Enclosed: ☒ Check  
\_\_\_\_\_ Money Order  
\_\_\_\_\_ Other

**Technology Center 2100****FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |                                    |          |
| 101          | 740      | 201          | 370      | Utility application filing fee     | _____    |
| 106          | 330      | 206          | 165      | Design application filing fee      | _____    |
| 107          | 510      | 207          | 255      | Plant filing fee                   | _____    |
| 108          | 740      | 208          | 370      | Reissue filing fee                 | _____    |
| 114          | 160      | 214          | 80       | Provisional application filing fee | _____    |

**SUBTOTAL (1) \$** \_\_\_\_\_**2. EXTRA CLAIM FEES**

|                          | Extra Claims   | Fee from below | Fee Paid |
|--------------------------|----------------|----------------|----------|
| Total Claims _____       | - 20** = _____ | X _____        | = _____  |
| Independent Claims _____ | - 3** = _____  | X _____        | = _____  |
| Multiple Dependent _____ |                | _____          | = _____  |

**\*\*Or number previously paid, if greater; For Reissues, see below.**

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Code         | Fee (\$) | Code         | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 280      | 204          | 140      | Multiple dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) \$** \_\_\_\_\_

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u>     | <u>Fee (\$)</u> | <u>Fee Code</u>     | <u>Fee (\$)</u> |  |                 |
| 105                 | 130             | 205                 | 65              | Surcharge - late filing fee or oath  |                 |
| 127                 | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                 | 130             | 139                 | 130             | Non-English specification  |                 |
| 147                 | 2,520           | 147                 | 2,520           | For filing a request for ex parte reexamination                            |                 |
| 099                 | 8,800           | 099                 | 8,800           | Request for inter parties reexamination                                    |                 |
| 112                 | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                 | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        |                 |
| 115                 | 110             | 215                 | 55              | Extension for reply within first month                                     |                 |
| 116                 | 400             | 216                 | 200             | Extension for reply within second month                                    |                 |
| 117                 | 920             | 217                 | 460             | Extension for reply within third month                                     |                 |
| 118                 | 1,440           | 218                 | 720             | Extension for reply within fourth month                                    |                 |
| 128                 | 1,960           | 228                 | 980             | Extension for reply within fifth month                                     |                 |
| 119                 | 320             | 219                 | 160             | Notice of Appeal   |                 |
| 120                 | 320             | 220                 | 160             | Filing a brief in support of an appeal                                     |                 |
| 121                 | 280             | 221                 | 140             | Request for oral hearing   |                 |
| 138                 | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              |                 |
| 140                 | 110             | 240                 | 55              | Petition to revive - unavoidable   |                 |
| 141                 | 1,280           | 241                 | 640             | Petition to revive - unintentional   |                 |
| 142                 | 1,280           | 242                 | 640             | Utility issue fee (or reissue)   |                 |
| 143                 | 460             | 243                 | 230             | Design issue fee   |                 |
| 144                 | 620             | 244                 | 310             | Plant issue fee  |                 |
| 122                 | 130             | 122                 | 130             | Petitions to the Commissioner  |                 |
| 123                 | 50              | 123                 | 50              | Processing fee under 37 CFR 1.17(q)  |                 |
| 126                 | 180             | 126                 | 180             | Submission of Information Disclosure Stmt                                  | 180.00          |
| 581                 | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) |                 |
| 146                 | 740             | 246                 | 370             | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 148                 | 110             | 248                 | 55              | Statutory Disclaimer   |                 |
| 149                 | 740             | 249                 | 370             | For each additional invention to be examined (see 37 CFR 1.129(b))         |                 |
| 179                 | 740             | 279                 | 370             | Request for Continued Examination (RCE)                                    |                 |
| 169                 | 900             | 169                 | 900             | Request for expedited examination of a design application                  |                 |
| 195                 | 300             | 195                 | 300             | Publication fee for early, voluntary, or normal pub.                       |                 |
| 196                 | 300             | 196                 | 300             | Publication fee for republication  |                 |
| 194                 | 130             | 194                 | 130             | Request for voluntary publication or republication                         |                 |
| 098                 | 130             | 098                 | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                 |
| 091                 | 1,280           | 091                 | 1,280           | Acceptance of unintentionally delayed claim for priority                   |                 |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 180.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Cynthia Thomas Faatz

Signature: Cynthia Thomas Faatz Date: November 7, 2002

Reg. Number: 39,973 Telephone Number: (408) 720-8300

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